



**CERTIFICATE OF MAILING BY U.S. FIRST CLASS MAIL**

I hereby certify that the attached Information Disclosure Statement, pursuant to 37 C.F.R. §§1.97-198 and Form PTO-1449, pursuant to 37 C.F.R. §1.8, are being deposited as U.S. First Class Mail this date with the United States Postal Service in an envelope addressed to Commissioner for Patents, Box DD, Washington, D.C. 20231-0001.

Date: Oct. 1, 2002

By: Alice Murphy  
Signature of person depositing as First Class Mail

2812  
#2  
P. Scott  
10/18/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
- PATENT APPLICATION -**

Applicant(s): Kannan Raj, C. Phillip McClay      Attorney Docket No.: 35706.5400  
Serial No.: 10/055,679      Group Art Unit: 2812  
Filed: January 22, 2002      Examiner: Unknown  
TITLE: **OPTICAL INTERCONNECT WITH INTEGRAL REFLECTIVE SURFACE  
AND LENS, SYSTEM INCLUDING THE INTERCONNECT AND  
METHOD OF FORMING THE SAME**

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Commissioner for Patents  
Box DD  
Washington, D.C. 20231

Sir/Madam:

RECEIVED  
OCT 10 2002  
TECHNOLOGY CENTER 2000

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. One copy of each cited document is submitted herewith. Applicants respectfully submit that all pending claims are patentable over the foregoing references. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove

the documents as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits, and each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office. Nevertheless, the Commissioner is hereby authorized to charge any fees which may be found to be required for this Information Disclosure Statement to Deposit Account No. 19-2814, for **which purpose a duplicate copy of this sheet is attached.**

Respectfully submitted,

By: 

Cynthia L. Pillote  
Reg. No. 42,999

Enclosures: PTO-1449  
Copy of Cited Reference

**SNELL & WILMER, L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, AZ 85004-0001  
(602) 382-6296